IAP11 Rec'd PCT/PTO 28

MAT-8851US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

To Be Assigned

Applicants:

Hideyuki HASHI, et al.

Filed:

Herewith

Title:

DISK DEVICE AND ELECTRONIC EQUIPMENT

USING THE SAME (AS AMENDED).

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned Confirmation No.: To Be Assigned

Docket No.:

MAT-8851US

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO SB/08(a) and PTO SB/08(b) form submitted herewith. A copy of the references listed on the PTO SB/08(a) and PTO SB/08(b) are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2004-164738
- 2) 04-181587
- 3) 07-014362
- 4) 03-071490

10/584808

5) 63-127482

References 1) to 3) and 5) include an English language abstract, and Reference 4) includes a partial English translation. In addition References 2) to 5) have a "concise explanation" under 37 CFR \S 1.98(a)(3) as set forth in the background portion of the specification.

Further, Reference 1) was cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of the Japanese language search report, and an English translation thereof, are enclosed in order to explain the special category definitions of the Japanese language search report. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the

above-referenced application.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicants

LEA/fp

Enclosures: PTO/SB/08A and PTO/SB/08B

(5) References

Japanese Search Report English translation thereof

Dated:

June 28, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAT-8851US

Complete if Known Substitute for Form 1449/PTO To Be Assigned **Application Number** Herewith INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT Hideyuki HASHI, et al. First Named Inventor (Use as many sheets as necessary) To Be Assigned Art Unit To Be Assigned **Examiner Name**

Attorney Docket No.

U.S. PATENT DOGUMENTS							
		Document Number		* <u>-</u>	Pages, Columns, Lines, Where		
Examiner Initials*	Cite No. ¹	Number - Kind Code ^{2 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
					<u> </u>		

SHEET 1 of 2

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD- YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		JP 2004-164738 A (with English abstract)	06/10/04	NOK CORP			
		JP 04-181587 (with English abstract)	06/29/92	HITACHI LTD			
		JP 07-014362 (with English abstract)	01/17/95	NEC IBARAKI LTD			
		JP 03-071490 (with partial English translation)	07/19/91	TEAC CO LTD			
		JP 63-127482 (with English abstract)	05/31/88	HITACHI LTD			
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Examiner	Date Consider	ed
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²See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3)..
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
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Substitute for Form 1449/PTO	Complete if Known			
	Application Number	To Be Assigned		
INFORMATION DISCLOSURE	Filing Date	Herewith		
STATEMENT BY APPLICANT	First Named Inventor	Hideyuki HASHI, et al.		
(Use as many sheets as necessary)	Art Unit	To Be Assigned		
	Examiner Name	To Be Assigned		
SHEET 2 of 2	Attorney Docket No.	MAT-8851US		

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
	•	Japanese Search Report for Application No. PCT/JP2005/021130 dated February 7, 2006, with English translation thereof.	Ø			
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